

# **Solid Waste Management Improvement Project**

**ADB Loan No.: 3067-UZB**

## **PROJECT MANAGEMENT, IMPLEMENTATION AND SUPERVISION CONSULTANCY SERVICES**

**Contract No.: SUE/Maxsustrans/QCBS-Cons\_1-2016-01**



## **Quarterly Progress Report No. 8**

**[QR-8/ April-June 2019]**

### **CLIENT – IMPLEMENTING AGENCY**

**State Unitary Enterprise (SUE) “MAXSUSTRANS” (Uzbekistan)**

### **LEAD CONSULTANT**

**H.P. Gauff Ingenieure GmbH & Co. KG-JBG (Germany)**

### **in association with**

**Infratech Consulting SDN Ltd. (Uzbekistan)**

**Tashkent – July 2019**

<b>Project title:</b> Solid Waste Management Improvement Project (SWMIP) ADB Loan No.: 3067-UZB	<b>Client:</b> <b>State Unitary Enterprise (SUE) "MAXSUSTRANS"</b> <b>Mr. Rakhmatilla Karshiev , Director</b> <b>Telephone:</b> +998 71 247 06 70 <b>Facsimile:</b> +998 71 247 79 21 <b>E-mail:</b> <a href="mailto:maxsustrans@inbox.ru">maxsustrans@inbox.ru</a>
<b>Contract No.:</b> SUE/Maxsustrans/QCBS-Cons_1-2016-01	<b>Project Implementing Unit:</b> <b>Mr. Rustambek Shukurov, Head of PIU</b> <b>Address:</b> Uchtepa district, "Bekobod" Industrial Zone, Tashkent, 100132, Republic of Uzbekistan <b>Telephone:</b> +998 71 247 79 23 <b>E-mail:</b> <a href="mailto:piu3067@gmail.com">piu3067@gmail.com</a>
<b>Date of contract signature:</b> 11 <sup>st</sup> of January 2017 <b>Date of the Commencement of the Contract:</b> 01 <sup>st</sup> of August 2017 <b>End of the Contract Date:</b> 30 <sup>th</sup> of June 2019 (as per Amendment #2)	<b>The Consultant's name, address, telephone &amp; fax, email:</b> JV Gauff GmbH&Co.KG (Germany) and INFRATECH- Consulting SDN Ltd Leading Company: Gauff Authorized representative of JV - Mr. Joachim Glasenapp Address: Berner Str. 45 / D-60437 Frankfurt/Main Phone: +49 69 50008200 Fax: +49 69 50008111 E-mail: <a href="mailto:jglasenapp@gauff.com">jglasenapp@gauff.com</a>
	<b>Name of Consultant's Team Leader, telephone and email:</b> Mr. Ingo Schoebe Phone: +998 90 903 31 06 E-mail: <a href="mailto:ischoebe@gauff.com">ischoebe@gauff.com</a> / <a href="mailto:pbox-swmip.uzb@gauff.com">pbox-swmip.uzb@gauff.com</a>

## **Contents**

<b>1</b>	<b>EXECUTIVE SUMMARY .....</b>	<b>6</b>
<b>2</b>	<b>INTRODUCTION / PROJECT DESCRIPTION .....</b>	<b>8</b>
<b>2.1</b>	<b>Project Description .....</b>	<b>8</b>
<b>2.2</b>	<b>Source of Funding &amp; Financing Schedule .....</b>	<b>9</b>
<b>3</b>	<b>CONSULTANT TASKS AND PROJECT ACTIVITIES DURING THE REPORTING PERIOD 11</b>	
<b>3.1</b>	<b>Project Management (TOR Task A) .....</b>	<b>11</b>
<b>3.2</b>	<b>Procurement (TOR Task B) .....</b>	<b>13</b>
<b>3.3</b>	<b>Construction Supervision Monitoring (TOR Task C) .....</b>	<b>16</b>
<b>3.4</b>	<b>Contracts Administration (TOR Task D) .....</b>	<b>16</b>
<b>3.5</b>	<b>Safeguards &amp; Environmental Implementation, Monitoring and Evaluation (TOR Task E) .....</b>	<b>18</b>
<b>3.6</b>	<b>Media and Public Relations (TOR Task F) .....</b>	<b>20</b>
<b>3.7</b>	<b>Other activities and tasks performed by the Consultant .....</b>	<b>20</b>
<b>4</b>	<b>ACTIVITIES PLANNED FOR THE NEXT REPORTING PERIOD .....</b>	<b>25</b>
<b>4.1</b>	<b>Project Management (TOR Task A) .....</b>	<b>25</b>
<b>4.2</b>	<b>Procurement (TOR Task B) .....</b>	<b>26</b>
<b>4.3</b>	<b>Construction Supervision Monitoring (TOR Tasks c) .....</b>	<b>26</b>
<b>4.4</b>	<b>Contract Administration (TOR Task D) .....</b>	<b>26</b>
<b>4.5</b>	<b>Safeguards Implementation, Monitoring and Evaluation (TOR Task E) .....</b>	<b>27</b>
<b>4.6</b>	<b>Media and Public Relations (TOR Task F) .....</b>	<b>27</b>

### **ANNEXES:**

**Annex 1 – Site Photographs**

**Annex 2 – Status Loan Covenants**

**Annex 3 – Updated Implementation Schedule (Version 8, as per June 2019)**

**Annex 4 - Updated Procurement and Disbursement Plan (Version 8, as per June 2019)**

## List of Abbreviations

<b>ADB</b>	Asian Development Bank
<b>AFS</b>	Audited Financial Statements
<b>BD</b>	Bidding documents
<b>BoQ</b>	Bill of quantity
<b>CAPEX</b>	Capital expenditures
<b>CDP</b>	Capacity Development Program
<b>CQS</b>	Consultant Qualification Selection
<b>EA</b>	Executing Agency (Tashkent City Administration or SCEEP)
<b>EIA</b>	Environmental Impact Assessment
<b>EMP</b>	Environmental Management Plan
<b>EMR</b>	Environmental Monitoring Report
<b>FIDIC</b>	International Federation of Consulting Engineers
<b>GAP</b>	Gender Action Plan
<b>GCC</b>	General Contract Conditions
<b>GoU</b>	Government of Uzbekistan
<b>GIS</b>	Geographic Information System
<b>ITB</b>	Instruction to Bidders
<b>IA</b>	Implementing Agency (State Unitary Enterprise "Maxsustrans")
<b>ICB</b>	International Competitive Bidding
<b>IEE</b>	Initial Environmental Examination
<b>ISWMS</b>	Internal Solid Waste Monitoring System
<b>LARP</b>	Land Acquisition and Resettlement Plan
<b>MSW</b>	Municipal Solid Waste
<b>MOF</b>	Ministry of Finance
<b>NGO</b>	Non-Government Organization
<b>O&amp;M</b>	Operation and Maintenance
<b>OPEX</b>	Operational Expenditures
<b>PAI</b>	Project Administration Instructions
<b>PAM</b>	Project Administration Manual
<b>PIU</b>	Project Implementation Unit
<b>QCBS</b>	Quality and cost based selection
<b>RFP</b>	Request of Proposal
<b>RRP</b>	Report and Recommendation of the President to the Board
<b>SCC</b>	Special Contract Conditions
<b>SCEEP</b>	State Committee of Uzbekistan of Ecology and Environmental Protection
<b>SOE</b>	Statement of Expenditure
<b>SPS</b>	Safeguard Policy Statement
<b>SPRSS</b>	Summary Poverty Reduction and Social Strategy
<b>SLF</b>	Sanitary Landfill Facility

<b>SUE</b>	State Unitary Enterprise
<b>SWMIP</b>	Solid Waste Management Improvement Project
<b>TA</b>	Technical Assistance
<b>ToR</b>	Terms of Reference
<b>USD</b>	United States Dollars (\$)
<b>UZS</b>	Uzbek Sums
<b>UZB</b>	Uzbekistan
<b>WA</b>	Withdrawal Application

### **NOTE**

In this report, "\$" refers to United State dollars (USD) and UZS refers to Uzbekistan sum.

#### **CURRENCY EQUIVALENTS**

(as on the day of June 30th, 2019)

Currency Unit - sum (UZS) UZS 1,000.00 = \$0.1199  
\$1.00 = UZS 8562.34

## 1 EXECUTIVE SUMMARY

This is the seventh Quarterly Progress Report [QR] prepared by the PIU Support Consultant. This report outlines the achievements in terms of project management, procurement and bidding documents, contract administration and all other corresponding activities of the Solid Waste Management Improvement Project **between 1<sup>st</sup> of April until and 30<sup>th</sup> of June 2019.**

The report structure is similar to the already submitted reporting format developed for the Client and PIU and submitted to the ADB as before and we will continue in this form. This report covers the comprehensive progress made in project management, procurement activities and all others of the SWMIP in the second Quarter of 2019. Subsequent this QR as the Safeguard Compliance and Monitoring Report and Environmental Monitoring Report will be presented in a similar structure as before but separate.

Some key activities and achievements during the reporting quarterly period are summarized below:

- Service package C2: Sanitary Landfill Design and Supervision Consultant –the regular design works was ongoing.
  - First meetings has been held with the PIU Consultant [C\_1] for launching necessary correspondence and exchange and transfer of experiences
- Since commencement of work [14.12.2018] different meetings have been held with C\_2 Consultant
- The held meetings include intensive exchange and transfer of experiences supported by the PIU and as well with the PIU- Consultant
- Following issues have been the main subject:
  - Organization of all necessary survey data for the design as topographical, geological and geohydrological data
  - Coordination of cooperation between CUCD and other stakeholders so as Shimizu, Waste and Valorization Company and his staff on the Landfill, C\_1 PIU-Consultant Experts for Environmental, Social observation and the Expert for Solid Waste Management.
- The MoM of each meeting has been prepared and every time to all involved persons submitted.
- Interim technical or commercial question has been replied by Mail directly by the Maxsustrans, PIU or according to 's the client's request by other participants.
- corresponding Site Visits has been carried out with the involved stakeholders
- The first package of design drawings based on all necessary surveys has been submitted in the beginning of June
- This includes a Social Safeguard Report no. 1 and Summary of the 1<sup>st</sup> environmental safeguard field work
- Delays by delivering of necessary cadaster documents and permits for fixing of property problems related to the old Dump as well for the New Landfill has been by Maxsustrans solved.
- Service package C3: Capacity Development Program Consultant – Services are in progress since February 15, 2018.

- The Consultant has provided the revised National Strategy for Solid Waste Management of Uzbekistan (Task 1/ File 1 -4), which was reviewed by SCEEP and ADB.
- The main messages were used for the Resolution of the President of the Republic of Uzbekistan # ПП-4291 dated 04/17/2019 On approval of the strategy by handling domestic waste in the Republic of Uzbekistan for the period 2019-2028, until 2023 the recycling rate should be 60 % of the recyclable solid waste.
- Task 2 – Media and public relations program for the SCEEP is finalized.
- Task 3 – The client Maxsustrans has suspended the Task 3 and 4 of the them by official letter submitted end of February 19. The suspension has started on first of March 19
- Transport logistics assessment program etc. should be developed according to new request of Maxsustrans. The preparation of the new tasks maybe slide changes of the ToR will be done together with all necessary stakeholders.
- The requested ERP system will be developed by GWCC and local IT Companies in cooperation with Maxsustrans. The preparation works are ongoing. All further contractual necessities will be solved with a further Amendment No. 2
- This agreement was reached after a common Video Conference under participation with the ADB presented by Mr. Ruoyu Hu
- Task 4 – not yet started but preparation for cooperation with all necessary stakeholders has been started after the Video Conference between ADB / Maxsustrans/ PIU/ Capacity Development Program Consultant-C\_3 and PIU Consultant C\_1
- Goods package G2: Waste Collection Trucks, Transfer Trucks and Trailers - The delivery of the contracted 182 waste collection and transfer trucks has been finalized in September 2018.
- All registration procedures have been finalized. The Trucks are in daily operation.
- Only monitoring of the Defect liability period is currently ongoing.
- Package C 7: Design and Supervision of Transfer Station (administrative structures-excluding mechanical part & corresponding Structure)
- The necessary Bidding Documents have been prepared and announced, the evaluation has been done, the Design Company has been selected.
- The contract couldn't be prepared until end of June due to procedural error.
- The tendering will be repeated at short notice
- Package C 8: Design and Supervision of Garage Facilities
- The situation is the same is under C\_7 explained.
- The Project Disbursement Plan and Loan Covenants Compliancy have been updated as per accordingly and attached to this QR (Annex ).
- There is no progress with the procurement packages G1, CW 4 and P1. The necessary increasing of budget hasn't been proceeded by the responsible state authorities.

- The relocation plan of investments based on the current procurement plan has been done and submitted in agreement with the PIU / ADB to the responsible state authorities.
- The already prepared Bidding documents for G\_1 has been submitted to the ADB for assessment.
- P1: CW\_2 has been changed in P1 due to PIU-Consultants suggestion to save time during the Tender Procedure and to delegate more responsibility to the executing Company
- The necessary changes in the ToR and stipulated corresponding documents has been prepared by the PIU Consultant-C\_1
- Draft documents for the Bidding procedure have been prepared
- Due to missing Budget increasing they are in stand by
- C\_1-PIU-Consultant prepared different time relocation suggestion to the PIU and responsible state authorities
- ADB expect now for checking the submission of the prepared document which will be done after a further revision in short term
- To the submitted presentations of future development suggestion of the Tashkent SWM system to Maxsustrans C\_1 Consultant didn't get any reply
- The same as for the training program for representatives of "SUE Maxsustrans" and other stakeholders. The submitted time frame for these lessons are already past.
- Other administrative and organization support have been given and executed to PIU and Maxsustrans according to the Contract and partly according Maxsustrans requests.
- C\_1 PIU Consultant gave further his support for the SCCEP team in co-work with GWCC.
- In both cases mostly for the preparation of information to the current project status, disbursement list of the packages and the general status over all activities which have been executed.

## **2 INTRODUCTION / PROJECT DESCRIPTION**

### **2.1 PROJECT DESCRIPTION**

All given explanations in the last QR are still valid and they are a basis for all further activities which are necessary for a positive and effective progress of the project.

**1. Project's Rationale, Location, and Beneficiaries:** The aim of the project is further to improve and to upgrade the urban infrastructure and service on a higher level compared to international standard.

With the implementation of:

- the Package C\_2 – Sanitary Landfill Design and Dump Closure
- the Package C\_7 rehabilitation of two Transfer Stations [rehabilitation of Administration building and other social facilities]
- the Package C\_8 rehabilitation of 11 Garages

a further step in the right direction has and will be been done in due course.



**2. Impact and Outcome:** The project's impact is sustainable urban environment and quality of life for the residents of Tashkent. The outcome will be improved SWM services and management for the residents of Tashkent city. As well the improvement of the working conditions for the staff on site.  
138/5000

New equipment & technology helps to expand and increase the performance and at the same time to improve the economic results.

### **3. The Project Outputs:**

#### **Output 1: Rehabilitated and expanded SWM system in Tashkent**

By the project completion it is expected that:

- (i) full rehabilitation of two transfer stations with the possibility maybe to close an existing transfer station without losing of the current capacity in waste management by currently up to 1,950 tons per day.
- (ii) with the foresight to establish a disposal and operational capacity by app. 750 thousand tons/year of the rehabilitated and new constructed landfill – Akhangaran with international environmental standards
- (iii) to create an operative system to reach a strengthened operational capacity with the currently ongoing parallel replacement of old trucks and equipment in the fleet
- (iv) to optimize the transport and collection routing within Tashkent to reach a higher workload of the trucks. This means a higher efficiency and time savings with a corresponding ERP System
- (v) to Improve the collection capacity and quality by waste separation by household waste and by small business

#### **Output 2: Strengthened operational capacity**

By the project completion it is expected that:

- i.at least 90% of households actively segregating waste at source,
- ii.campaign to raise awareness will reach 90% of households on waste segregation with women household members' participation,
- iii.improved management, operations and maintenance of MAXSUSTRANS.
- iv.Reduction of recyclable waste according to the According to the Resolution of the President of the Republic of Uzbekistan # ПП-4291 dated 04/17/2019 on approval of the strategy by handling domestic waste in the Republic of Uzbekistan for the period 2019-2028, until 2023 the recycling rate should be 60 % of the recyclable solid waste.

#### **Output 3: Elaboration of the National Solid Waste Management Strategy**

By the project completion it is expected that:

- (vi) Preparation of a draft national strategy for the management of solid waste, including a draft sector investment program

## **2.2 SOURCE OF FUNDING & FINANCING SCHEDULE**

The total Project cost is **USD 76 million**, of which the ADB loan is USD 69 million and the Uzbek Government share – USD 7 million.

**Table 1: Sources of Financing**

Source of Financing	Total (million USD)	%
<b>Asian Development Bank Financing</b>		
Loan 3067-UZB (Ordinary Capital Resources)	69.00	90.79%
<b>Governmental Financing</b>		
Government of Uzbekistan (GoU)	7.00	9.21%
<b>Total</b>	<b>76.00</b>	<b>100%</b>

**Table 2: Withdrawal of Loan Proceeds Category**

No	Item	Total amount allocated for ADB OCR Financing (\$)	Percentage and basis for Withdrawal from the Loan Account
<b>1</b>	<b>Civil Works</b>	<b>31,477,000</b>	
a	Landfill construction	17,831,000	100% of total expenditure claimed*
b	Dumpsite closure	5,700,000	100% of total expenditure claimed*
c	Transfer station, garages and collection points	5,400,000	100% of total expenditure claimed*
d	Design and Supervision	2,546,000	100% of total expenditure claimed*
<b>2</b>	<b>Plant and Equipment</b>	<b>23,455,500</b>	
a	Landfill equipment and machinery	1,858,500	100% of total expenditure claimed*
b	Waste collection and transfer trucks	13,642,000	100% of total expenditure claimed*
c	Bins and other equipment	7,955,000	100% of total expenditure claimed*
<b>3</b>	<b>PMU, Capacity Development and Studies</b>	<b>4,407,200</b>	
a	Project management personnel and support	2,236,700	100% of total expenditure claimed*
b	Consultants, capacity support and audit	2,170,500	100% of total expenditure claimed*
<b>4</b>	<b>Interest and Commitment Charges</b>	<b>1,501,000</b>	<b>100% of amounts due</b>
<b>5</b>	<b>Unallocated</b>	<b>8,159,300</b>	
* Exclusive of taxes and duties in the territory of the borrower. Source: Loan Agreement with Asian Development Bank from 27.02.2014			

### **3 CONSULTANT TASKS AND PROJECT ACTIVITIES DURING THE REPORTING PERIOD**

#### **3.1 PROJECT MANAGEMENT (TOR TASK A)**

The PIU Consultant is continuing his tasks according to the contractual obligation and in compliance with the current tasks given by the PIU/Maxsutrans. These activities cover assistance to the project stakeholders in project implementation, management, administration, communication and internal reporting about the project progress, procurement activities and current issues. The Consultant has participated in the weekly meetings as well as sometimes in the short daily briefings. The short information exchange each other or receiving of necessary important tasks now is better as before.

The Consultant team has continuous meetings and consultations with the new management of the Client especially Mr. Sherzod Kattakhodjaev in order to share the project status, current issues and further procurement strategy under the ADB project.

The Consultant's team is continuing his task and linked necessary organization work, meetings and office work for the project implementation and reporting. During the last quarter the following contracts/ procurement packages were in focus:

- G1: Landfill Equipment and machinery
- C2: Sanitary Landfill Design and Supervision Consultants
- C3: Capacity Development Program Consultants
- C7: Design and Supervision of Transfer Station excluding the mechanical Part and Structures
- C8: Design and Supervision Garage Facilities

The Consultant participated in several meetings of the Client regarding project implementation and further planning/updating SWMIP procurement packages as well as in discussions with the Client's staff concerning review and evaluation of proposals under the ongoing selections.

The Client and PIU was assisted in communication and current progress reporting to the local authorities and the Executing Agencies like Tashkent City Administration and State Committee of Uzbekistan of Ecology and Environmental Protection. Furthermore, the Consultant supported the Client in the updating the Environmental Monitoring and Social Safeguard Monitoring Reports as per end of the reporting quarter. He supported with his Specialist so as Environmental and Social the C\_2 Sanitary Landfill Design and Supervision Consultants by different site visits. The aim of site visit was not to reflect any non-compliances/ corrective actions but for CUCD's understanding the current situation to implement this in the required reports of them.

A meeting twice a month with the responsible key staff has been held to be up today for corresponding questions.

During the reporting period [April-June 2019], the Consultant experts participated in the following key meetings:

- Different meetings with Mr. Kattakhodjaev – Maxsutrans during the whole reporting period to the stage, developing, special question and further necessary improvements according to the Maxsutrans opinion of the ongoing and still required project "Packages"
- Common site visit in **Akhangaran** with CUCD – C\_2- Sanitary Landfill Design and Supervision Consultants on 23.04. and 28.05.2019 to find a solution regarding the necessary access road to the new landfill, existing Dumpsite and current problems and to get a overview of the valley where the New Landfill shall be constructed including all accompanying circumstances.
- ADB's Project Review Mission taken place from 21.05. - 01. 06.2019

- Meeting with Mr. Ruoyu Hu, Urban Development Specialist from ADB Head quarter for valuation of the current stage, to get suggestions how to continue the Project. The meeting took place on 27.05.19 by SCEEP and 28.05.19. with local ADB Representative, with Mrs. Feruza Insavaliyeva, at the Landfill **Akhangaran**.
- Revision and submission of the quarterly report [QR] No. 7 and of PIU Consultant until 20.05. including the revision of the Environmental report of the first quarter 2019.
- Evaluation of the Bidding Documents for C\_7-Design and Supervision of Transfer Station (excluding the mechanical Parts and Building) and C\_8- Design and Supervision of Garage Facilities
- Different meeting together Mr. Kattakhodjaev – Maxsustrans with C\_3 Capacity Developing Consultant (GWCC) to the subject of Task 3
- To clarify why the Maxsustrans suspend the ongoing work.
- After holding a video conference with all involved Stakeholders including the ADB on 18.03.19, continuation of the work after submission of updated documents regarding Task 3 and the necessary steps for implementing the ERP – System
- A joint working document has been prepared and an agreement has been reached with which the further process can be achieved.
- This agreement will be fixed in the Amendment No.3 of GWCC - C\_3 Capacity Developing Consultant.
- CUCD presented the Draft Version of her New Landfill Design [Power Point] by the SCEEP in presence of ADB, PIU, Maxsustrans and the C\_1 PIU-Consultant on 27.05.19.
- C\_1 PIU-Consultant update according to Maxsustrans requests Package G\_1 and finalized it with the verbal approval by the responsible Maxsustrans Engineer after discussion and explanation. The Bidding documents of Package G\_1- Landfill Equipment and Machinery are ready in case that the Budget will be adapted by the state administration.
- Discussion with the Head of PIU, Mr. R. Shukurov and Mr. Sherzod Kattakhodjaev to Amendment No. 3 of the PIU Consultant-C\_1.
- No complains from both and confirmed with the MoM by Maxsustrans and official Letter of
- Regular consultation with the Sanitary landfill Design and Supervision Consultant

As it is the Consultant's task to monitor the project related circumstances and events, the Consultant continuously supervised the ongoing activities and project progress and made several visits of the future construction sites (see photos in Annex 1). During the last three months the Consultant is continuing his support in project disbursements and contract administrations with regards to ongoing Packages as already above mentioned.

Upon request of the ADB Project Mission the Consultant has assisted to PIU and Maxsustrans to update the **compliance of ADB Loan Agreement Covenants**. This was done based on available financial, technical and other relevant data of SUE "Maxsustrans".

A further update hasn't been done due to no changes up to December 2018.

In addition, the Consultant updated according to the current situation the Project Implementation Schedule (see Annex 3). The Consultant has been verbally informed that the Project Closing Date and effectiveness of the ADB Loan Agreement will be extended for another 2 years (i.e. until December 31, 2020) for this the Project Implementation Schedule (see Annex) has been revised. About continuation of monitoring and supervision until finalization of the Landfill construction, planed earliest until June 30, 2021, no decision has been done yet.

The Amendment No. 3 to the Contract of PIU Consultant regarding above mentioned issue of extension has already been send to all stakeholders as PIU, Maxsustrans and the ADB.

Until end of June

The Consultant will prepare and submit the following reports during or after the next Quarter:

- Quarterly Progress Report No. 9

- Quarterly/Annual Safeguard Compliance and Monitoring Report
- Quarterly/Bi-Annual Environmental Monitoring Report
- Updated Project Implementation and Procurement Schedule [if necessary-No. 9]
- Updated corresponding Project Procurement Plan
- Disbursements Requests, Withdrawal Applications and Reporting (*As and when required*)
- Claims Evaluation Report of the Suppliers (*As and when required*)

In addition, the Consultant will support and advise the Client and PIU at preparation and submission of ad-hoc reports to local authorities and EAs upon demand.

### 3.2 PROCUREMENT (TOR TASK B)

The Consultant has continued to assist to the Client in procurement planning and tender implementation. At the ADB mission time the Procurement Schedule of the Project it was required to updated as revised version 8 in Annex 3 in June 2019.

During the reporting period under this Task B, the Consultant has performed the following activities and assistance to PIU and the Client:

#### GOODS packages:

##### **G1 / Landfill Equipment & Machinery**

No progress and activities during the reporting period from Employers side. The Consultant still waiting for final decision and advice by the Client and its Governmental authorities to initiate the international bidding process under this package. The anticipated reunion of both existing companies – SUE "Maxsustrans" and SUE "Waste Transfer and Landfilling Company" haven't been agreed by the Cabinet of Ministers and the President.

The foreseen package G\_1 - Landfill Equipment & Machinery – will be only come in force and the equipment will be only used for the new Landfill.

##### **G2 / Waste Collection & Transfer Trucks**

The contractual procurement has been completed. Only the monitoring of the defect liability period is currently ongoing.

##### **G3 and G4 / Waste Collection Bins**

Both contracts are completed.

#### WORKS packages:

##### **CW 1 & CW3 / Sanitary Landfill Establishment and Dumpsite Closure**

Acc. to MoM No.230 dd. 19.05.2017 of Inter-Ministerial Council at the Cabinet of Ministers of Uzbekistan two packages CW1 and CW3 are now combined **under CW\_1**.

The preparation of the BD by C\_1 PIU Consultant based on design documentation of the Landfill Design and Supervision Consultant (CUCD - Cons\_2) has been started. Cost estimate to be updated by designers Cons\_2.No activities and progress within the reporting period.

##### **CW2 / Transfer Station Rehabilitation – NEW P\_1**

This package was before CW2 with the budget \$ 1,5 mln. This has to be increased because of updating previous scope of work of TS in Yakkasaray and Yunusabad districts. As a rough cost estimate shows that about 70% is equipment and facility, this package shall be Procurement of Plant (design, supply and installation). Maxsustrans intends to select a Supplier for supply and installation of a plant with small

adjustment civil works, as needed for installation of new equipment. These small works shall be first designed by the Supplier as needed. Proposed Bidding Procedure is

**Single-Stage selection: Two-Envelope.**

To accelerate the bidding and tender process the method has been changed in agreement with the ADB acceptance into "Procurement of Plant – Design, Supply and Installation" (Version 12-2016).

Due to this changes the package has been renamed into **P\_1**.

This change includes now only the mechanical part (Bunker, Press, Hydraulic and other necessary mechanical, electrical and IT equipment) plus the necessary structural facilities.

The Bidding documents has been changed accordingly and ready for submission.

Due to no activities and progress by the client and responsible ministries to increasing the budget for this package everything is still in standby within this reporting period. During the last ADB mission they ask for a control of the currently prepared BD and send them a.s.a.p. to the ADB for their information and assessment/ comments.

**CW 4 / Garage Rehabilitation and Rehabilitation of administrative and social buildings within the TFS**

The previous budget \$ 779 tsd. was increased because of updating previous Scope of Work. The Client intends to rehabilitate all existing 11 District Garages of Maxsustrans (before was two). \$ 1,5 mln. is also a tentative budget to be updated by designers Cons\_7.

Due to the announced Packages received expression of interest from different national Design and Consulting Institutes and executed tendering procedure for the Design Phase for C\_7 (Design and Supervision of Transfer Station) and C\_8 (Design and Supervision of Garages) we expect a soon possibility for continuation of the further necessary steps for this package. They are now combined **under CW\_4**.

We are waiting currently of the conformation of the submitted evaluation and suggested Designer/ Consultant Institute.

**CW5 / Collection Points Upgrade and Rehabilitation**

This package was cancelled because Maxsustrans has financed the design and civil works itself.

**SERVICES packages:**

**C2 / Sanitary Landfill Design and Supervision**

The Contract has been signed on 04.12.2018, the commencement date has been the 14.12.2018 and since this time the CUCD Company is working on the Sanitary Landfill Design.

Single draft versions for different facilities have been submitted to the Maxsustrans and assessed from PIU – Consultant with some comments as suggestion.

During the reporting period the following problems have occurred:

- to the presented anti-seepage liner system of CUCD give it different opinion which currently under clarification
- the documentation isn't positively expertized by the appropriate authorities of Uzbekistan until now.
- between the SUE-Maxsustrans and CUCD some missing details and decision should be clarified in short term – the corresponding process is ongoing
- different held meetings between CUCD – C\_2- "Sanitary Landfill Design and Supervision-Consultant" and C\_1- PIU Consultant to reach a better understanding and cooperation each other wasn't so sufficient as planned

All meetings were written down as MoM and distributed to all participants.

**C3 / Capacity Development Program Consultant**

Until end of March 2019 the work of the CDP Consultant was mainly suspended for clarification of Task 3.

**- Task 3: Transport logistics assessment program**

Since end of April GWCC is working in Cooperation with Maxsustrans and a local IT office on the developing and fixation of the necessary tasks for the ERP.



#### Starting from April:

#### **Information about executed services in the period April – June 2019**

On 17th April 2019 the Decree No. 4291 of the President of the Republic of Uzbekistan on approval of the Strategy for solid waste management in the Republic of Uzbekistan for the period of 2019-2028 has been issued. It has been foreseen that the Consultant will review the draft documentation after issuance of the Presidential decree and will submit the Final Documentation of recommendations. Note: The exact timing of this work has not yet been clarified with SCEEP and PIU due to other pending clarifications in other work tasks (refer to sections below).

In **Task 2** National Media and Public Relations Program (SCEEP) Consultant has been requested to support the elaboration and completion of video material which is presenting the outlines of the National SWM Strategy. The works have been finalized. The execution of further activities within this Task is still not defined. In addition, the further execution of services according to ToR has not yet been officially approved by SCEEP.

Since November 2018 the **Task 3** "Transport Logistic Assessment Program (Maxsustrans)" has been started. The Consultant team completed during January and February 2019 the data assessment and evaluation. Also, Consultant has been requested by Maxsustrans to provide a market research of eligible providers of modern routing software. After suspension of the work for task 3 by the Maxsustrans and mediated meetings also under leading of the ADB. CDP Consultant presented a revised action plan and detail description to the necessary steps under involvement of a local IT office with good experience in this field. Presentation with a further task plan has been done in presence of Maxsustrans on 23.04.19 and 03.06.19 and their confirmation to continue. The availability of funds within the ADB project is clarified with PIU and PIU- Consultant and will be included into the next Amendment.

The remaining tasks of ToR:

#### **Task 4 Technical Advice on SWM system operations (Maxsustrans)**

have not yet been started. According to Work Plan of Inception phase the commencement has been scheduled for November/ December but after Consultation with the SCEEP and the PIU the time of execution of further activities within this Task is still not defined.

#### **C4 / EAs Individual Consultants (4 contracts)**

The contracts have been completed in Q1 2017.

#### **C5 / PIU Audit Consultants**

The contract has been completed in Q2 2018.

#### **C6 / Community Collection Points Design and Supervision Consultants**

This package has been cancelled in this Project, because design works have been arranged by Maxsustrans itself.

#### **C7 / Transfer Station Rehabilitation Design and Supervision Consultants and**

#### **C8 / Garage Rehabilitation Design and Supervision Consultants**

As above already reported component package C\_7 the rehabilitation has been limited only to the administrative building excluding the structure of the press hall and all mechanical and equipment parts. Despite a procedural error the evaluation of the Bidding documents is repeated at short notice. The C\_1 Consultant works in coordination with the ADB to get a final result at short notice.

As before, C\_7 and C\_8 will be handled as two single packages again. The main reason is to avoid difficult Tender agreement procedure via City Tender Commission. To reach this the contract amount of each tender has been limited by less than 100.000 \$ [USD].

However, PIU together with Maxsustrans and PIU Consultant has already revise the current Procurement Schedule [No. 8] accordingly.

The LCD procedure will be executed again and according to national and ADB regulation evaluated. The evaluation is foreseen to be finalized latest in the last decade of July.

Within the last year in the area of the Transfer Station Yakasaray a sorting plant for plastic and metal has been built and taken in operation by another legal Company which isn't connected with above mentioned two companies. During the design phase further circumstances regarding this issue should be respected and taken in consideration.

### **3.3 CONSTRUCTION SUPERVISION MONITORING (TOR TASK C)**

As no civil works contracts currently implemented in the Project, there are no activities of the Consultant under this task.

We expect the first activity under this task earliest End of 2019, if the civil works under package CW4 will be tendered and commenced.

Supervision for Package CW1 will come in force earliest beginning of 2020.

A starting date for CW2 is currently not estimable.

### **3.4 CONTRACTS ADMINISTRATION (TOR TASK D)**

The Consultant assisted to PIU and the Client with disbursements under the ongoing Goods and Services contracts. In particular, this assistance included preparation of the Withdrawal Applications (Was) and other documents like Statement of Expenditures (SOE) and Estimated Expenditures Statement (EES) and review of invoices and confirming documents submitted/claimed by Suppliers and Consultants for payment.



The following table includes the currently status of disbursements on each Project category as per Loan Agreement of ADB. Percentage of disbursed amount as per ADB Loan Agreement is currently **33%**.

April-June 2019					
No	Item	Total Amount Allocated for ADB Financing in USD		Disbursed amount as of 30.06.2019	Non-disbursed amount as of 30.06.2019
		Category	Subcategory		
<b>1</b>	<b>Civil Works</b>	<b>31,477,000</b>		<b>561,019</b>	<b>30,915,981</b>
1A	Landfill Construction		17,831,000	0	17,831,000
1B	Dumpsite Closure		5,700,000	0	5,700,000
1C	Transfer Station, Garages and Collection Points		5,400,000	0	5,400,000
1D	Design & Supervision		2,546,000	561,019	1,984,981
<b>2</b>	<b>Plant &amp; Equipment</b>	<b>23,455,500</b>		<b>18,707,859</b>	<b>4,747,641</b>
2A	Landfill Equipment and Machinery		3,800,000	0	1,858,500
2B	Waste Collection and Transfer Trucks		13,642,000	12,988,800	653,200
2C	Waste Bins and other Equipment		7,955,000	5,719,059	2,235,941
<b>3</b>	<b>Project Management, Capacity Development and</b>	<b>4,407,200</b>		<b>2,637,153</b>	<b>1,770,047</b>
3A	Project Management Personal and Support		2,236,700	1,672,387	564,313
3B	Capacity Development Program		2,170,500	964,766	1,205,734
<b>4</b>	<b>Interest and Commitment Charges</b>	<b>1,501,000</b>		<b>1,094,749</b>	<b>406,251</b>
<b>5</b>	<b>Unallocated</b>	<b>8,159,300</b>		<b>0</b>	<b>8,159,300</b>
	<b>Total</b>	<b>69,000,000</b>		<b>23,000,780</b>	<b>45,999,220</b>

For the reporting period were related to the following on-going contracts (please see the table below):

- G2 – Waste Collection Trucks and Transfer Trucks;
- C1 – PIU Consultants;
- C2 – Sanitary Landfill dumpsite closure
- C3 – Capacity Development
- Operational costs of PIU.

Done payments on the Project's on-going. To which contracts and amount is presented below.

### **Summary of Withdrawal Applications for the Reporting Period 2018**

April - June 19

Summary of Withdrawal Application for the Reporting Period April- June 2019									
W/Appl. No.	Applications Amount		W/Appl. No.	Ammount Disbursed		US\$ Equivalent	Value Date	Acting Month	Comments
P0047	US\$	102,428.61	47	US\$	102,428.61	102,428.61	03. June 2019	2019/06	Interim payment to Consultant C_1-Gauff SUE/Maxsustrans?QCBS-Cons_1
P0049	US\$	313,373.83	49	US\$	313,373.83	313,373.83	24. June 2019	2019/06	Interim payment to Consultant C_2-CUCD SUE/Maxsustrans?QCBS-Cons_2
P0048	US\$	121,460.19	48	US\$	121,460.19	121,460.19	04. June 2019	2019/06	Interim payment to Consultant C_3-GWCC SUE/Maxsustrans?QCBS-Cons_3
		TOTAL				537,262.63			

## **3.5 SAFEGUARDS & ENVIRONMENTAL IMPLEMENTATION, MONITORING AND EVALUATION (TOR TASK E)**

### **3.5.1 Social Safeguard Monitoring**

In compliance with the ADB's SPS, LARP (Land Acquisition Resettlement Plan) was prepared in 2012 and disclosed in 2013. LARP was time-to-time updated. The safeguard and mitigation measure included the following:

- land for land compensation;
- financial compensation for lost crops and profit (in case of);

As the construction works under the project not yet started, the Consultant assisted the PIU with management and monitoring of the social safeguard issues under the Project especially related to land acquisition for the new landfill. Based on last developments the Consultant has updated his last Report Quarterly Social Safeguard Monitoring Report for the new Annual Report of 2018.

#### **The current status with land for new landfill site**

According to the Decree of Khokimiyat of Akhangaran district #1536 dated August 25, 2018 the land plot of 30 ha required for the project implementation is handed over to SUE Maxsustrans. This land plot for the project needs is registered at the State reserve fund of land of Akhangaran district. At this stage of land acquisition, the project does not affect leasehold farmer impacted by the LARP 2012/2015. On August 14, 2018 he stopped his farming at the leasehold land plot, and returned it to the reserve fund of lands of the respective Khokimiyat.

Maybe compensation for the agricultural losses related to the allocated land plot is under consideration of Khokimiyat of Akhangaran district. According to the state regulation and national standards a sanitary

zone of 1000m around a landfill exist. Due to this law it is questionable whether any compensation has to be paid. An agricultural business is therefore not allowed there only tolerated.

The public consultations with the waste pickers at the dumpsite and individual consultation with the leasehold farmer were conducted in September 2018. The situation hasn't been changed.

The DDR [Due Diligence Report] also details the consultation process to be conducted with people living along the project corridor before the construction stage to inform them on planned construction works and existing Grievance Redress Process.

The GAP [Gender and poverty assessment Plan] is prepared on bi-annual period and presented in Annex 1 of the Bi-annual Social Safeguard Report. As per GAP the equal role of women in the collection and processing of waste is guaranteed by SUE "Maxsustrans". In 2019, at the transfer station and the landfill site, all employees in the number of 154 people including 22 women were insured (15% are women). Women work in administrative and support staff positions. Men work at engineering and technical staff positions. According to the schedule, annual medical examination of all employees, including women, is conducted at the site. A social evaluation of the women's needs was conducted by SUE "Maxsustrans". As a result, it was found out that women have separate office rooms; the rooms are bright, clean with air conditioning; there is a sofa for rest in the office. There is a separate toilet. No requests for additional needs from women were obtained during the social evaluation. They are satisfied with the provided working conditions for them.

Further site visits to Akchangeran Landfill and to established and rehabilitated Waste Collection Points within the City of Tashkent has been together visited with the CUCD- C\_2 Consultant - Sanitary Landfill Design team's Social Specialist and Environmental specialist for introduce them in the project related issues and understanding in May 2019.

### **3.5.2 Environmental Monitoring and Evaluation**

Currently there are no further direct activities due to the construction phase didn't start yet. The monitoring process is currently in standby due to no activities on side.

The relevant things are currently in consideration by the C\_2 Consultant - Sanitary Landfill Design and Supervision for implementation in the design. As above mentioned with the CUCD- C\_2 Consultant - Sanitary Landfill Design the team's Social Specialist and Environmental Specialist [NIE Mingtao] different site visits to Akchangeran Landfill has been done. The aim was to introduce them in the project related issues and understanding for the land side in May and June 2019. Corresponding meetings with these Specialists have been held additional in their or our office to reach a better understanding by them to the current ongoing or in standby located circumstances and/ or issues.

During the inspection and held meetings, overall methodology to assess and monitor EMP implementation for future construction activity were agreed to conduct.

The meetings will continue after submission of "New SLF-Draft Design" to assess that the requested Expertise has been done accordingly to the Task 3 - Safe Guard Management ... of the Contract.

Monitoring and reporting of the project will be conducted prior to construction, during construction, and during operation.

#### List of held meetings between CUCD and PIU Experts to environmental and Social issues

20.05.2019	CUCD team meeting with the PIU environmental specialist to discuss environment aspects/public consultation plan/GRM. Drafting IEE /Revising EMP
------------	---

22.05.2019	Common visit of Akhangeran Landfill and Inner-City waste collection system by permanent collection Points
24.05.2019	Continuation of discussion between CUCD team meeting with the PIU environmental specialist about national regulation/ standards. Further to environment aspects/public consultation plan/GRM, drafting IEE /Revising EMP after their visit of the Old Dumpsite and Inner-City waste collection system by permanent collection Points by Maxsustrans and alternative Companies.
28.05.2019	Social Safe Guard and Environmental Expert have been visited together with CUCD team and ADB Representative the existing and the new landfill site with subsequent discussion.

### 3.6 MEDIA AND PUBLIC RELATIONS (TOR TASK F)

Under this task, the Consultant assisted PIU and the Client to update the web site of SUE "Maxsustrans" in a continuous way, in order to post regularly the project activities and progress as well as to create a Project Grievance Mechanism for public and potential affected people through web site of the Implementing Agency. Since March 15, 2018 all relevant documents related to project are available on website of SUE "Maxsustrans". For this quarter it will be submitted for web site installation until end of July 2019.

Other task as public consultations with farmer and local population inhabited in vicinity of the new landfill area about potential environmental and social risks and property issues expected at the start of assignment of the Landfill Design Consultant and Contractors for establishment of new landfill and closure of old dumpsite will be continued according to the necessity.

### 3.7 OTHER ACTIVITIES AND TASKS PERFORMED BY THE CONSULTANT

In addition to above mentioned activities, the PIU Consultant has performed the following sub-tasks:

- Link between Maxsustrans and all other contracted Consultant
- Support of the Consultant C2- Sanitary Landfill Design and Supervision by their site visits, coordination and discussion of too be used and preferred construction standards,
- carrying out of different common meetings with Consultant C2- Sanitary Landfill Design and Supervision to share common ideas for a good design,
- Communication and assistance to the Supplier "POSCO Daewoo Co." during the liability guarantee period and communication regarding defect issues;
- Assisting the C\_3- Capacity Development Program Consultant under the Contract No. SUE/Maxsustrans/QCBS-C3 by the developing of the terms to the ERP software and supporting the preparation of Amendment 3 ;
- Assistance in preparation/updating of project disbursement prognosis and reporting against local Governmental Agencies;
- Meeting in the Administration Office of SCEEP together with CUCD- Consultant C2- Sanitary Landfill Design and Supervision for presentation of the draft design, their increased activities and connected issues and problems on 27.05.2019 and prospects strategies and opportunities for further cooperation on the field of Solid Waste Design and Management on 05.06.2019.
- Participation in different meetings and round tables about project issues, progress and disbursement matters;

- Participation on Meetings with the ADB Staff Mr. Ruoyu Hu, Mr. Shukurov by Mr. Schoebe, Mr. Mavlyan-Kariev regarding issues related to C\_1 PIU-Consultants Task, Consultant C2- Sanitary Landfill Design Status and support and Supervision C\_3- Capacity Development Program Consultant Task 3 [ERP-System] on 21.05.2019.
- Preparation of the necessary Bidding documents for all district Garage with the Maxsutrans staff to describe and to evaluate the necessary works for each single rehabilitation which will be executed under Package C\_7 -Design and Supervision of Transfer Station and C\_8 – Design and Supervision of Garage Facilities,  
During the period announcing the Bidding process, evaluation and suggestion of the Best Bidder to all stakeholders for confirmation according to ADB regulation and national law with the Bid. Due to a procedural error it will have to be repeated,
- It will be done latest until end of July 2019 to proceed further with evaluation and submission of them to the ADB for their confirmation,
- Continuation of communication as PIU-Consultant to other Consultants and Suppliers as listed below for the year 2019:

Action	Time / Duration	Description
Social Safeguard Monitoring Report	Each quarter including semi-annual and annual report for 2019	National Social Safeguards and Development Specialist
Environmental Monitoring Report	Each quarter including semi-annual and annual report for 2019	National Environmental Specialist
Quarterly Progress Report of the PIU Consultants	Each quarter 2019	Team leader/ Deputy team Leader
Update of Project Implementation and Procurement Schedules	Upon demand	International and National Procurement Specialists
Announcement for expression of interest & preparation of bidding documents for package G1 – Landfill equipment and machinery	Q3 – 2019	International and National Procurement Specialists International SWM Engineer Team leader/ Deputy team Leader
Finalization of evaluation for contracting of the Company (Winner) for package C7 – Transfer station rehabilitation Design and Supervision Consultant	Q3 – 2019	International and National Procurement Specialists International SWM Engineer Team leader/ Deputy team Leader
Finalization of evaluation for contracting of the Company (Winner) for package C8 – Garage rehabilitation Design and Supervision Consultant	Q3 – 2019	International and National Procurement Specialists International SWM Engineer Team leader/ Deputy team Leader
Preparation of the final Design by C2 – Consultant -SLF Design & Supervision Consultant and supporting them by the	Q2 – 2019	International and National Procurement Specialists International SWM Engineer Team leader/ Deputy team Leader

preparation of the Bidding Documents to proceed with ...		
Assistance to the Client and other project stakeholders to revise the current Investment Program of SWMIP with updating scope of works and allocated budgets	Regular 2019	Team leader/ Deputy team Leader National Procurement Specialist Financial Management Specialist
Preparation of documents about project progress and safeguard monitoring for posting at Maxsustrans website	Upon demand	The whole team
Assistance to the Client in preparation of necessary documents and Report of status of SWMIP project to ADB and local authorities	Upon demand	The whole team

## Correspondence

### **Outgoing/Исходящие**

<b>Contractor's Letters Data/ Даты исходящих писем Консультанта</b>					
<b>No.</b>	<b>To whom/ Кому</b>	<b>Date of Letter/ Дата письма</b>	<b>Date Received/ Дата получения</b>	<b>Letter No. / Номер письма</b>	<b>Subject / Тема</b>
1	"MAXSUSTRANS" копия ГРП	4/23/2019	4/23/2019		Provision of interim invoice No. 8 / Предоставления промежуточного инвойса № 8
2	"MAXSUSTRANS" копия ГРП	5/6/2019	5/6/2019	045-C_1	Submission of different documents, MoM etc. to above mentioned Contract / Предоставление различных документов, протоколов и т.д. к вышеупомянутому контракту
3	"MAXSUSTRANS" копия ГРП	5/13/2019	5/13/2019		Submission of Amendment № 3
4	"MAXSUSTRANS" копия ГРП	5/22/2019	5/23/2019	047-C_1	The consultant's revised deliverables according to the a.m. Contract / Отчеты консультанта согласно вышеуказанного контракта
5	"MAXSUSTRANS" копия ГРП и ADB	6/19/2019	6/19/2019		Proposed extension of Contract completion date from 30 June 2019 to 31 December 2020 (Amendment № 3)

**No incoming letters from Maxsustrans during this period (April-June 2019)**

## Other mean activities

Date	Package	Description
17.04.19	C_1 - PIU Consultant	Meeting with Mr. Kattakhodjaev and Mr. Babadjanov regarding stage of: - Alignment and design of the access road to the new SLF - stage of obtaining of the Cadaster documents for design of closure old dumpsite and New SLF - statement to GPS System / ERP Program of GWCC- C_3 Capacity Development Program Consultant
23.04.19	C_3 Capacity Development Program Consultant	GWCC presented to Maxsustrans, PIU and C_1 - PIU Consultant program how to develop and to construct the ERP Software with a local IT Company
20.05.19	C_2 – Sanitary Landfill Design and Supervision C_1 - PIU Consultant	Further discussion for clarification of landfill design with CUCD, Maxsustrans. - Landfill gas collection - using of perforated Pipes - liner and leachate system issues - Organization chart for the Landfill - Room plan for the Admin. Building - General map preparation for division of the areas for the GEN PLAN
22.05.+ 28.05.	C_2 – Sanitary Landfill Design and Supervision C_1 - PIU Consultant	Common visit of Akhangeran Landfill and Inner-City waste collection system by permanent collection Points
24.05.19	C_2 – Sanitary Landfill Design and Supervision	Meeting with the CUCD Specialist for Environment Mr. Mr. Mingtao Nie and Social Safeguard Specialist Mrs. Xuede Qian.
03.06.19	C_2 – Sanitary Landfill Design and Supervision	Further discussion for clarification of inner landfill slope, depth of digging, leachate pumping system, Liner system
03.06.19	C_3 Capacity Development Program Consultant C_1 - PIU Consultant	Further presentation to the ERP IT System by GWCC, - presentation of the general architecture of this program - GWCC got the task to prepare a calculation which amount is necessary for design, software preparation and hard ware components
15.06.19	C_2 – Sanitary Landfill Design and Supervision	Submission of the first design draft for the new SLF
20.06.19	C_1 - PIU Consultant	According to the request of Maxsustrans Mr. Kattakhodjaev Mr. Schneider and Mr. Schoebe prepared a assessment to the design drawings
26.06.19	C_2 – Sanitary Landfill Design and Supervision	Submission of the first revised design for the new SLF
01.07.19	C_1 - PIU Consultant	Meeting with Mr. Ruoyu Hu (Jason) to focus the task on improvement of a better performance of other necessary ToR tasks of the PIU-Consultant than the SLF design.



## 4 ACTIVITIES PLANNED FOR THE NEXT REPORTING PERIOD

In the following quarterly reporting period (**April – June 2019**) the Consultant intends to perform the following activities:

### 4.1 PROJECT MANAGEMENT (TOR TASK A)

The Consultant will conduct project management tasks (planning, monitoring, evaluation and project progress reporting) and assist to the Client and PIU in coordination and communication with other Consultants of the Project and also with local authorities regarding project progress, planning, monitoring and reporting. The following sub-tasks will be performed under this Task A:

Action / Deliverable acc. to Contract	Time frame	Responsibility within PIU Consultant
Social Safeguard Monitoring Report	Within 15 days after Q3/2019	National Social Safeguards and Development Specialist
Environmental Monitoring Report	Upon demand	National Environmental Specialist
Quarterly Progress Report of the PIU Consultants	Within 15 days after Q3/2019	Team Leader/ Deputy Team Leader
Update of Project Implementation and Procurement Schedules	after request by the Employer-if	International and National Procurement Specialists
Site Survey report – C_2 – Sanitary Landfill Design and Supervision Consultant	Within 15 days after 31.05.19	Team Leader, Intern. SWM Expert, Nat. Supervision Engineer
Draft Design Documentation of New Landfill	Within 15 days after 31.05.19	Team Leader, Intern. SWM Expert, Nat. Supervision Engineer
Finalization of bidding procedure for package C_7-& C_8 -Consultant	In progress, waiting for further clarification by the ADB & Client	International and National Procurement Specialists International SWM Engineer Team Leader/ Deputy Team Leader
Preparation of the Consulting Contracts for the package C_7-& C_8 -Consultant	Pending, after request by the Employer	International and National Procurement Specialists International SWM Engineer Team Leader/ Deputy Team Leader
Assistance to the Client and other project stakeholders to revise the current Feasibility Study Report and other documents of the Client, Uzbek Government and ADB related to SWMIP	Upon demand	Team Leader/ Deputy Team Leader, Procurement Specialists Financial Management Specialist
Other activities required for Project implementation and monitoring (ed-hoc tasks and progress reporting, correspondences with ADB, local Government and other project stakeholders)	Upon demand	The whole team

## 4.2 PROCUREMENT (TOR TASK B)

The Consultant will assist to the Client and PIU with following activities under this task:

<b>GOODS</b>	<b>Description of activities</b>
G1	As mentioned the draft BD for procurement of landfill operation equipment by 4 lots has been prepared and submitted to ADB for checking. Technical specifications, type and number of landfill equipment were final fixed and included. Tender may be started in case of final decision of the Client and the both Executing Agencies to procure this goods through this ADB loan.
G2	Monitoring of training and assembling services of the Goods, which was ongoing acc. the Contract <b>has been finalized in November 2018.</b>
<b>WORKS</b>	<b>Description of activities</b>
P1	No activities expected in the next reporting period.
CW2+CW3	No activities expected in the next reporting period.
CW4	No activities expected in the next reporting period.
<b>SERVICES</b>	<b>Description of activities</b>
C1	As described in this Quarterly report
C2	As described in this Quarterly report; Assistance of the Maxsutrans in review of the Consultant deliverables if requested
C3	Assistance in review of the Consultant deliverables – follow up the current strategy paper [Term 3] and providing the corresponding assessment to the PIU/Maxsutrans and Consultant. Supporting PIU/Maxsutrans to improve the expected result according to the expected business statement of this documents.
C7	Preparation of the contractual documents,
C8	contractual accompaniment of Maxsutrans
General	in the preparation of the project documents for the Bidding procedure Contract administration works (whatever is necessary and requested by PIU and Maxsutrans.)

## 4.3 CONSTRUCTION SUPERVISION MONITORING (TOR TASKS C)

No activities foreseen for the next reporting period, because the Client does not conclude any Works contract under the Project and the first construction activities are expected from the Middle 2019 (in the best case).

## 4.4 CONTRACT ADMINISTRATION (TOR TASK D)

The Consultant will assist the PIU and the Client in the administration of the Goods, Civil Works and Services contracts listed below:

<b>GOODS</b>	<b>Description of activities</b>
G1	• No activities expected in the next reporting period but retrievable
G2	• To monitor the guarantee period
<b>WORKS</b>	<b>Description of activities</b>
P1	No activities expected in the next reporting period.
CW2 & CW3	No activities expected in the next reporting period.
CW4	No activities expected in the next reporting period.
<b>SERVICES</b>	<b>Description of activities</b>
C1	• All activities included into this Quarterly report
C2	• Monitoring and to support the speed up the effectiveness of work according to the Contract and ensuring a timely manner of the submission of deliverables • Assessment of submitted project documents by the Consultant;

- |         |   |
|---------|---|
|         | <ul style="list-style-type: none"><li>• Review of the Interim Invoices submitted by the Consultant if by Maxsustrans requested</li></ul>  |
| C3      | <ul style="list-style-type: none"><li>• Assisting the CDP Consultant in communication with both Executing Agencies, local authorities with the developing of the ERP for a better operational result and a higher flexibility by disposal of house hold waste;</li></ul>                          |
| C7&C8   | <ul style="list-style-type: none"><li>• Preparation of the bidding documents and to follow up according to the ADB procedure</li></ul>  |
| General | <ul style="list-style-type: none"><li>• Review of the Interim Invoices submitted by the Consultant if by Maxsustrans requested.</li><li>• Support the PIU/ Employer by pre-preparation of documents for the ADB and Maxsustrans to attend the procedure up to the contractual procedure</li></ul> |

#### **4.5 SAFEGUARDS IMPLEMENTATION, MONITORING AND EVALUATION (TOR TASK E)**

The Consultant will continue to assist the Maxsustrans [Client]/PIU with the monitoring of safeguard issues under the Project. This activity will cover monitoring of compliancy to the environmental and social safeguard policy of ADB and Uzbekistan and will be monitored by the selected Specialist and their Monitoring program. The Consultant will assist the Client and PIU in the awareness of the environmental and social risks that may potential impacted by the project development activities. To be noted that social safeguard monitoring means monitoring of Land Acquisition and Resettlement Plan of the Project, in particular all aspects and progress with land acquisition for new landfill site as explained in items above. As well the social impact for employment and gender aspects. All detailed explained in the corresponding Environmental Monitoring Report and Social Safeguard Report which are separate submitted.

#### **4.6 MEDIA AND PUBLIC RELATIONS (TOR TASK F)**

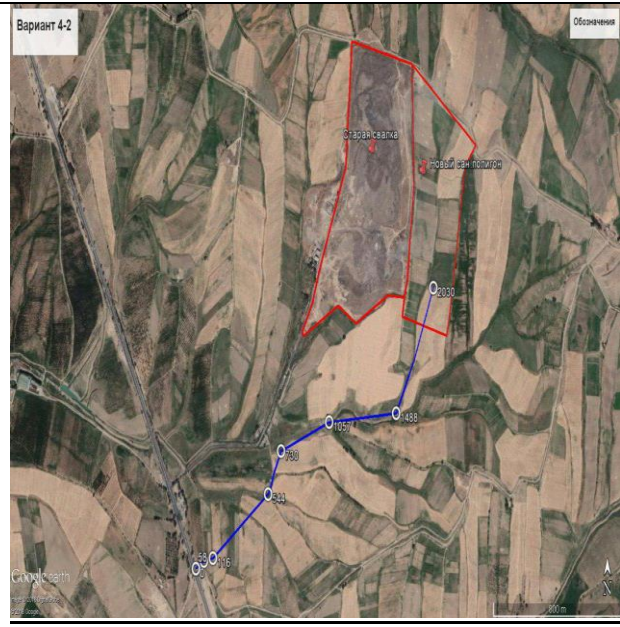
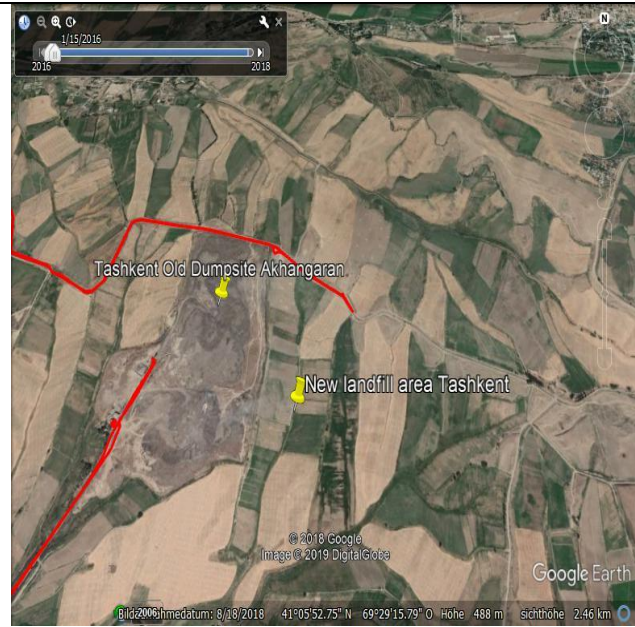
The Consultant will guide the Client/PIU to carry out Media and Public Relations activities within the reporting period as well as a part of this work will be assistance to the Client in posting of relevant project progress reports at the Maxsustrans website.

## ANNEXES

## ANNEX I - SITE PHOTOGRAPHS (APRIL - JUNE 2019)

### Searching for a new access road to the new Landfill

Map Akhangaran Landfill suggested access road



## Overview of variants

### Site visits on different places





**Situation on the existing landfill**



**Still burning rubbish**



Waste Pickers on the old Dumpsite – nothing changed

Waste Pickers between and behind Landfill Equipment

**View from the supposed New and to the existing Landfill**



View to the north side





View to the south side



View to the west side



Discussion of design decisions with Sanitary Landfill Design and Supervision Consultant



Current access road to Akhangaran Landfill



Discussion between ADB, CUCD, PIU-Social Expert and C\_1-PIU Consultant